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Conflict Minerals Reporting Template (CMRT)

English

Revision 6.01
May 19, 2020

[Link to Terms & Conditions](#)

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

Company Information

Company Name (*):	DataRay Inc.
Declaration Scope or Class (*):	A. Company
Description of Scope:	The entirety of DataRay's products.
Company Unique ID:	
Company Unique ID Authority:	
Address:	
Contact Name (*):	Annemarie Van Parys
Email - Contact (*):	avamparys@dataray.com
Phone - Contact (*):	530-395-1732
Authorizer (*):	Kevin Garvey
Title - Authorizer:	COO
Email - Authorizer (*):	kgarvey@dataray.com
Phone - Authorizer:	530-395-2500
Effective Date (*):	1-Apr-2021

Answer the following questions 1 - 8 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

2) Does any 3TG remain in the product(s)? (*)	Answer	Comments
Tantalum (*)	Yes	
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten (*)	Yes	

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)	Answer	Comments
Tantalum (*)	Yes	We have one or more suppliers in our supply chain that have determined that certain smelter responses include references to 3TG from the covered countries.
Tin (*)	Yes	same as above
Gold (*)	Yes	same as above
Tungsten (*)	Yes	same as above

4) Do any of the smelters in your supply chain source the 3TG from conflict-affected and high-risk areas? (*)	Answer	Comments
Tantalum (*)	Unknown	We have one or more suppliers in our supply chain that have reported an answer of "Unknown" for this question.
Tin (*)	Unknown	We have one or more suppliers in our supply chain that have reported an answer of "Unknown" for this question. Additionally one of the suppliers has listed multiple smelters names with no country
Gold (*)	Unknown	same as above
Tungsten (*)	Unknown	same as above

5) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)	Answer	Comments
Tantalum (*)	No	
Tin (*)	No	
Gold (*)	No	
Tungsten (*)	No	

6) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)	Answer	Comments
Tantalum (*)	Greater than 50%	
Tin (*)	Greater than 50%	
Gold (*)	Greater than 50%	
Tungsten (*)	Greater than 50%	



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7) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)

	Answer	Comments
Tantalum (*)	No	No because Question 6 is not 100% and also some suppliers report the answer to this question as unknown.
Tin (*)	No	same as above
Gold (*)	No	same as above
Tungsten (*)	No	same as above

8) Has all applicable smelter information received by your company been reported in this declaration? (*)

Tantalum (*)	Yes	This reports all smelter information received from 1/14/21 - 4/1/2021.
Tin (*)	Yes	same as above
Gold (*)	Yes	same as above
Tungsten (*)	Yes	same as above

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a responsible minerals sourcing policy? (*)	Yes	https://www.dataray.com/pages/certifications-and-compliance-initiatives
B. Is your responsible minerals sourcing policy publicly available on your website? (Note - If yes, the user shall specify the URL in the comment field.) (*)	Yes	https://www.dataray.com/pages/certifications-and-compliance-initiatives
C. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	No	
D. Have you implemented due diligence measures for responsible sourcing? (*)	No	
E. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)	No	
F. Do you review due diligence information received from your suppliers against your company's expectations? (*)	No	
G. Does your review process include corrective action management? (*)	No	
H. Is your company required to file an annual conflict minerals disclosure? (*)	No	